FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to								
Section 16. Form 4 or Form 5								
obligations may continue. See								
Instruction 1(b).								

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Papenfort Dietmar</u>						2. Issuer Name <b>and</b> Ticker or Trading Symbol ACTIVE POWER INC [ ACPW ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner  Officer (give title Other (specify				
(Last) (First) (Middle) C/O ACTIVE POWER INC. 2128 W. BRAKER LN., BK 12					3. Date of Earliest Transaction (Month/Day/Year) 11/09/2009									^ belo	v)		below)	·
(Street) AUSTIN TX 78758 (City) (State) (Zip)					4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)								Individual or Joint/Group Filing (Check Applicable ne)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)					Execution Date			Transaction Code (Instr. 5)		ities Acquired (A) or d Of (D) (Instr. 3, 4 an		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Da if any (Month/Day/\)	ate, Tr	Code (I				6. Date Exercis Expiration Date (Month/Day/Ye		e of S ear) Und Deri		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		9. Number derivative Securitie Beneficia Owned Following Reported Transacti (Instr. 4)	e s ally g	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Cc	ode	v	(A)	(D)	Date Exercisable	Exp	oiration e	Title	Amount or Number of Shares					
Employee Stock Option (Right to Buy)	\$1.13	11/09/2009			A		50,000		(1)	11/0	09/2019	Common Stock	50,000	\$0	50,00	00	D	

## **Explanation of Responses:**

1. With continued service, the option shares shall vest and become exercisable in a series of sixteen equal quarterly installments upon the completion of each quarter of service through 11/9/2013.

/s/ John K. Penver (Attorney-

in-Fact)

\*\* Signature of Reporting Person

Date

11/09/2009

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.