SEC Form 4

FORM 4

| | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). |
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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| | | | | or Sec | ction 30(h) of the In | vestmer | nt Con | npany Act of 1 | 940 | | | | | | |
|--|---------------------|---------------|-----------------------------------|--|---|---|--------|------------------------------------|---------------|------------|---|---|---|--|--|
| 1. Name and Address of Reporting Person* | | | | 2. Issuer Name and Ticker or Trading Symbol P10, Inc. [PX] | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| Benford Tracey | | | | | | | | | 1 | Director | 10% C | Jwner | | | |
| (Last) | t) (First) (Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) 06/14/2024 | | | | | | | Officer (give title below) | Other below) | (specify) | | |
| C/O P10, INC. | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | |
| 4514 COLE AVENUE, SUITE 1600 | | | | | | | | | | | Form filed by One Reporting Person | | | | |
| (Street) | | | | | | | | | | | Form filed by More than One Reporting Person | | | | |
| DALLAS | TX | 75205 | | Rule 10b5-1(c) Transaction Indication | | | | | | | | | | | |
| (City) | (State) | (Zip) | | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | | |
| | | Table I - Noi | n-Derivat | tive S | ecurities Acq | uired, | Dis | posed of, o | or Ber | neficially | Owned | | | | |
| Date | | | 2. Transact Date (Month/Day | | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Disposed Of 5) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (| | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

A⁽¹⁾

21,875

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | Transaction Code (Instr. 8) | | of | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|--|---|-----------------------------------|---|-----|-----|--|--------------------|---|--|---|--|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

Class A Common Stock

1. On June 14, 2024, the reporting person was awarded a total of 21,875 shares of restricted stock, all of which will vest on the first anniversary of the grant date, provided that the reporting person remains in continuous service with the Issuer through such date.

/s/ Amanda Coussens, Attorney-in-Fact for the Reporting Persons ** Signature of Reporting Person

\$0.00

Α

21,875

D

06/18/2024

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

06/14/2024

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.